

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT

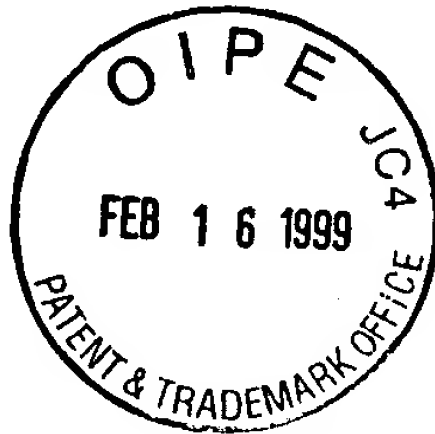
In re application of:

MORITA et al

Serial No. 09/068,592

Filed: May 14, 1998

For: CATHODE MATERIAL FOR LITHIUM SECONDARY BATTERY, PROCESS FOR
MANUFACTURING THE SAME, AND SECONDARY BATTERY USING THE
SAME



Attorney's Docket: XI/P05934US0

: Art Unit: 1745

: Examiner: Not assigned

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
Washington, D.C.

RECEIVED

FEB 17 1999

GROUP 1700

S I R:

Submitted herewith are:

1. A copy of references which have come to applicant's attention; and
2. A listing of the references on PTO-1449.

Please consider the documents and make them of record pursuant to 37 C.F.R.
§1.97-1.98.

Consideration and citation of the references are respectfully solicited.

Respectfully submitted,

LARSON & TAYLOR

Date: February 16, 1999

A handwritten signature in cursive script, appearing to read "Thomas P. Sarro".

Thomas P. Sarro
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(703) 739-4900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

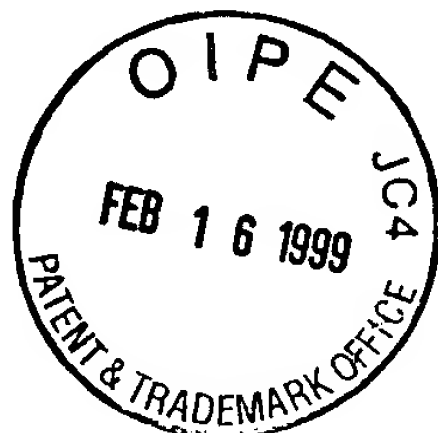
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For: CATHODE MATERIAL FOR LITHIUM SECONDARY BATTERY, PROCESS FOR
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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Assistant Commissioner of Patents

Washington, D.C. 20231

S I R :

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. §1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- ☒ a. The enclosed information disclosure statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- ☐ b. The enclosed information disclosure statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311.

The enclosed information disclosure statement is accompanied by [check one]:

- ☐ i. a statement in part (e) below as specified in 37 C.F.R. §1.97(e), or
- ☐ ii. a check in the amount of \$240.00 required by 37 C.F.R. §1.17(p).

- ☐ c. The enclosed information disclosure statement is being filed after the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311, but before payment of the issue fee.

PETITION: It is requested that the information being submitted be considered. [NOTE: If box (c) is checked, the following two boxes must be checked.]

- ☐ **PETITION FEE:** A check for \$130.00 required by 37 CFR §1.17(i)(1), is enclosed.
- ☐ **STATEMENT** is attended to in box (e) below.

- ☐ d. The enclosed information disclosure statement is being filed pursuant to 37 C.F.R. §1.97(i), for placement in the file.

☐ e. **Statement** [Check one] [Statement is required only if box (b)(i) or box (c) is checked.]

☐ I hereby state that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement,

or

☐ I hereby state that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

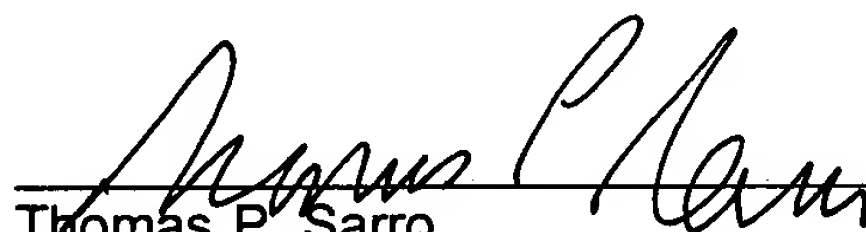
or

☐ Appropriate statement is attached.

☒ f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 12-0555. A duplicate of this sheet is enclosed.

Respectfully submitted,

Date: February 16, 1999


Thomas P. Sarro
Registration No. 19,396

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